

Pragya Securities Private Limited

MEMBER :- BSE / NSE / DP - CDSL

CIN : U67120MH1994PTC078185

GST No.: 27AAACP3247K1ZB



REGD. OFF.: 227/228, KAILAS PLAZA, 2ND FLOOR, VALLABH BAUG LANE, GHATKOPAR (E), MUMBAI - 400075

PHONE : 6728 3333 • E-mail : admin@psplonline.com

Investor Complaints Data

Name of the Stock Broker :- PRAGYA SECURITIES PVT LTD

SEBI Stock Broker-Registration Number :-INZ000172539

Data for the month ending – November-2024

| Sr. No | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly From Investors | NIL | NIL | NIL | NIL | NIL | | NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | | NIL |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | | NIL |
| 4 | Other Sources | NIL | NIL | NIL | NIL | NIL | | NIL |
| 5 | Grand Total | NIL | NIL | NIL | NIL | NIL | | NIL |

Trend of monthly disposal of complaints for the Financial Year – 2024-2025: -

| Sr.No. | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|--------|----------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April- 2024 | NIL | NIL | NIL | NIL |
| 2 | May - 2024 | NIL | NIL | NIL | NIL |
| 3 | June - 2024 | NIL | NIL | NIL | NIL |
| 4 | July - 2024 | NIL | NIL | NIL | NIL |
| 5 | August-2024 | NIL | NIL | NIL | NIL |
| 6 | September-2024 | NIL | NIL | NIL | NIL |
| 7 | October -2024 | NIL | NIL | NIL | NIL |
| 8 | November -2024 | NIL | NIL | NIL | NIL |

Pragya Securities Private Limited

MEMBER :- BSE / NSE / DP - CDSL

CIN : U67120MH1994PTC078185

GST No.: 27AAACP3247K1ZB



REGD. OFF.: 227/228, KAILAS PLAZA, 2ND FLOOR, VALLABH BAUG LANE, GHATKOPAR (E), MUMBAI - 400075

PHONE : 6728 3333 • E-mail : admin@psplonline.com

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints: -

| Sr.No | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|-------|---------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021-22 | NIL | NIL | NIL | NIL |
| 2 | 2022-23 | NIL | NIL | NIL | NIL |
| 3 | 2023-24 | NIL | NIL | NIL | NIL |
| 4 | 2024-25 | NIL | NIL | NIL | NIL |

Pragya Securities Private Limited

MEMBER :- BSE / NSE / DP - CDSL

CIN : U67120MH1994PTC078185

GST No.: 27AAACP3247K1ZB



REGD. OFF.: 227/228, KAILAS PLAZA, 2ND FLOOR, VALLABH BAUG LANE, GHATKOPAR (E), MUMBAI - 400075

PHONE : 6728 3333 • E-mail : admin@psplonline.com

Investor Complaints Data

Name of the Depository Participant:-PRAGYA SECURITIES PVT LTD

SEBI DP -Registration Number :-IN-DP-742-2023

Data for the month ending –November-2024

| Sr.No. | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved * | Pending at the end of the month** | | Average Resolution time^ (in days) |
|--------|----------------------------|-------------------------------------|---------------------------|---------------|------------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | DirectlyFrom the Investors | NIL | NIL | NIL | NIL | NIL | | NIL |
| 2 | SEBI(SCORES) | NIL | NIL | NIL | NIL | NIL | | NIL |
| 3 | Depositories | NIL | NIL | NIL | NIL | NIL | | NIL |
| 4 | Other sources(if any) | NIL | NIL | NIL | NIL | NIL | | NIL |
| 5 | GrandTotal | NIL | NIL | NIL | NIL | NIL | | NIL |

Trend of monthly disposal of complaints for the Financial Year – 2024-2025: -

| Sr. No | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|--------|----------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April- 2024 | NIL | 1 | 1 | NIL |
| 2 | May - 2024 | NIL | NIL | NIL | NIL |
| 3 | June- 2024 | NIL | NIL | NIL | NIL |
| 4 | July - 2024 | NIL | NIL | NIL | NIL |
| 5 | August -2024 | NIL | NIL | NIL | NIL |
| 6 | September-2024 | NIL | NIL | NIL | NIL |
| 7 | October -2024 | NIL | NIL | NIL | NIL |
| 8 | November -2024 | NIL | NIL | NIL | NIL |

Pragya Securities Private Limited

MEMBER :- BSE / NSE / DP - CDSL

CIN : U67120MH1994PTC078185

GST No.: 27AAACP3247K1ZB



REGD. OFF.: 227/228, KAILAS PLAZA, 2ND FLOOR, VALLABH BAUG LANE, GHATKOPAR (E), MUMBAI - 400075

PHONE : 6728 3333 • E-mail : admin@psplonline.com

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| Sr.No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|---------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021-22 | NIL | NIL | NIL | NIL |
| 2 | 2022-23 | NIL | 1 | 1 | NIL |
| 3 | 2023-24 | NIL | 1 | 1 | NIL |
| 4 | 2024-25 | NIL | 1 | 1 | NIL |